IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sam Clark Werner Docket: TUC920020121US1 (16093)

Serial No.: Unassigned Dated: December 10, 2003

Filed: Herewith

For: IMPROVEMENT FOR PPRC BACKUP SYSTEMS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. U.S. Patent No. 6,408,348, issued June 18, 2002 to Blount, et al.;
- 2. U.S. Patent No. 6,351,792, issued February 26, 2002 to Milillo;
- 3. U.S. Patent No. 6,349,350, issued February 19, 2002 to Hathorn, et al.;
- 4. U.S. Patent No. 6,304,980, issued October 16, 2001 to Beardsley, et al.;
- 5. U.S. Patent No. 6,199,074, issued March 6, 2001 to Kern, et al.;

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EV 244125146 US Date of Deposit: December 10, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

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Dated: December 10, 2003

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- 6. U.S. Patent No. 6,185,652, issued February 6, 2001 to Shek, et al.:
- 7. U.S. Patent No. 6,131,148, issued October 10, 2000 to West, et al.;
- 8. U.S. Patent No. 6,105,078, issued August 15, 2000 to Crockett, et al.;
- 9. U.S. Patent No. 6,088,697, issued July 11, 2000 to Crockett, et al.;
- 10. U.S. Patent No. 6,044,444, issued March 28, 2000 to Ofek;
- 11. U.S. Patent No. 5,920,695, issued July 6, 1999 to Hathorn, et al.;
- 12. U.S. Patent No. 5,894,583, issued April 13, 1999 to Johnson, et al.;
- 13. International Publication No. WO 01/73554 A2, dated October 4, 2001;
- 14. International Publication No. WO 01/67247 A1, dated September 13, 2001;
- 15. "IBM ESS (Shark) and SANsymphonyTM Software An IBM TotalStorage Proven TM Solution", <u>DataCore Software Corporation</u>, July 2002, pp. 1-6; and
- 16. "J. Consoli, "Achieving Disaster Recovery Preparedness in PPRC Environments", <u>INRANGE Technologies</u>, pp. 1-31.

Applicants are submitting copies of the above-cited non-U.S. references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Respectfully submitted,

Steven Fischman

Registration No. 34,594

SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343 SF/LP:gc

INFORMATION DISCLOSURE CITATION

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(Use several sheets if necessary)

Docket Number (Optional)	Application Number				
TUC920020121US1 (16093)	Unassigned				
Applicant(s)	<u> </u>				
Sam Clark Werner	·				
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				Herewith Unassigned					
				U.S. PAT	ENT DOCUMENTS				
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		6,408,348	06/18/02	Blount, et al					
		6,351,792	02/26/02	Milillo				-	
		6,349,350	02/19/02	Hathorn, et al					
		6,304,980	10/16/01	Beardsley, et al					
		6,199,074	03/06/01	Kern, et al					
		6,185,652	02/06/01	Shek, et al					
		6,131,148	10/10/00	West, e	t al				
		6,105,078	08/15/00	Crocke	tt, et al				
		6,088,697	07/11/00	Crocke	tt, et al				•••
		6,044,444	03/28/00	03/28/00 Ofek				Ü	
		5,920,695	07/06/99	Hathor	n, et al				
				FOREIG	N PATENT DOCUMENTS				
	REF	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	Translation YES NO	
		WO 01/73554 A2	10/04/01	PCT					
		WO 01/67247 A1	09/13/01	PCT					
L									
				OTHER	DOCUMENTS (Including)	Author, Title, D	ate, Pertinent Pa	ges, Etc.)	
		"IBM ESS (Shark) and Corporation, July 2002	SANsymphony	M Softwa	re - An IBM TotalStorage			•	are
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	"J. Consoli, "Achieving Disaster Recovery Preparedness in PPRC Environments", INRANGE Technologies, pp. 1-31							1	
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Form PTO-A820 (als f rm PTO-1449)

not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Filing Date Herewith U.S. PATENT DOCUMENTS Application Number TUC920020121US1 (16093) Application Number TUC920020121US1 (16093) Unassigned Unassigned Unassigned

U.S. PATENT DOCUMENTS										
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME		CLASS	CLASS SUBCLASS		FILING DATE IF APPROPRIATE	
		5,894,583	04/13/99	Johnson, et al		-		II AII KO	KIATE	
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FOREIGN PATENT DOCUMENTS										
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)										
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.